

1 **IN THE SUPREME COURT OF THE STATE OF NEW MEXICO**

2 Opinion Number: _____

3 Filing Date: _____

SUPREME COURT OF NEW MEXICO

FILED

4 **NO. 31,909**

OCT 19 2010

5 **STATE OF NEW MEXICO,**

Kathleen J. Gibson

6 Plaintiff-Petitioner,

7 v.

8 **RUDY B.,**

9 Defendant-Respondent.

10 **ORIGINAL PROCEEDING ON CERTIORARI**

11 **Monica M. Zamora, District Judge**

12 Gary K. King, Attorney General

13 M. Victoria Wilson, Assistant Attorney General

14 Santa Fe, NM

15 for Petitioner

1 Hugh W. Dangler, Chief Public Defender
2 J.K. Theodosia Johnson, Assistant Appellant Defender
3 Santa Fe, NM

4 for Respondent

5 Marsha L. Levick
6 Katherine E. Burdick
7 Philadelphia, PA

8 for Amici Curiae, Juvenile Law Center,
9 Children's Law Center of Massachusetts,
10 Juvenile Justice Project of Louisiana,
11 National Juvenile Defender Center,
12 New Mexico Criminal Defense Lawyers Association,
13 Southern Juvenile Defender Center, and
14 Professor Barbara Fedders

1 **OPINION**

2 **BOSSON, Justice.**

3 {1} New Mexico law requires a trial judge to hold an evidentiary hearing to
4 determine whether a juvenile, adjudicated as a youthful offender for having
5 committed certain serious criminal offenses, is “amenable” to treatment or
6 rehabilitation in juvenile facilities or should be sentenced to prison as an adult. *See*
7 NMSA 1978, § 32A-2-20 (1993) (amended 2009). Our courts have labored for years
8 debating whether the Sixth Amendment right to a jury trial requires the amenability
9 determination to be made by the jury or by the trial judge as the statute provides. *See*
10 *State v. Gonzales*, 2001-NMCA-025, 130 N.M. 341, 24 P.3d 776, *overruled by State*
11 *v. Rudy B.*, 2009-NMCA-104, ¶ 53, 147 N.M. 45, 216 P.3d 810.

12 {2} On the basis of U.S. Supreme Court precedent recently issued in *Oregon v.*
13 *Ice*, ___ U.S. ___, 129 S. Ct. 711 (2009), we now conclude that the Sixth Amendment
14 does not require a jury determination, and thus, we uphold from constitutional
15 challenge New Mexico’s statutory preference for judge-made amenability decisions.
16 In so doing, we reverse the recent contrary opinion of our Court of Appeals and
17 remand for further proceedings.

18 **BACKGROUND**

19 {3} The Court of Appeals succinctly described the events resulting in the

1 prosecution of Rudy B. (“Child”) in this case. “Child was involved in a gang fight
2 in a parking lot. Under the impression that one of the other gang members had a
3 gun, Child pulled out his own weapon and began shooting. He hit three people, one
4 of whom was rendered a quadriplegic.” *Rudy B.*, 2009-NMCA-104, ¶ 2.

5 {4} The State then filed a petition in children’s court against Child, seventeen
6 years old at the time, alleging various youthful offender offenses and potentially
7 subjecting him to an adult sentence. Soon thereafter, the State filed notice of its
8 intent to seek adult sanctions and obtained a grand jury indictment, charging Child
9 with three counts of shooting from a motor vehicle (great bodily harm), three counts
10 of aggravated battery (deadly weapon), and one count of unlawful possession of a
11 handgun by a minor, and one count of tampering with evidence. Prior to trial, Child
12 pleaded guilty to two counts of shooting from a motor vehicle (great bodily harm)
13 and to two counts of aggravated battery (deadly weapon) (firearm enhancement). In
14 return, the State agreed to drop the remaining charges.

15 {5} The plea agreement specified that Child was to be sentenced after an
16 amenability hearing that would be held “pursuant to [Section] 32A-2-20.” Section
17 32A-2-20 requires a trial judge to hold an evidentiary hearing to determine whether
18 a juvenile adjudicated as a youthful offender should be sentenced as a juvenile or as

1 an adult. To sentence a youthful offender as an adult, the trial judge must make two
2 findings (collectively “the amenability determination”): “(1) the child is not
3 amenable to treatment or rehabilitation as a child in available facilities; and (2) the
4 child is not eligible for commitment to an institution for children with developmental
5 disabilities or mental disorders.” Section 32A-2-20(B). The statute provides a list
6 of factors for the trial judge to consider in light of the evidence presented at the
7 amenability proceeding. Section 32A-2-20(C).¹

8 ¹Section 32A-2-20 provides, in relevant part,

9 C. In making the findings set forth in Subsection B of this
10 section, the judge shall consider the following factors:

- 11 (1) the seriousness of the alleged offense;
12 (2) whether the alleged offense was committed in an
13 aggressive, violent, premeditated or willful manner;
14 (3) whether a firearm was used to commit the alleged
15 offense;
16 (4) whether the alleged offense was against persons or
17 against property, greater weight being given to offenses against
18 persons, especially if personal injury resulted;
15 (5) the maturity of the child as determined by
16 consideration of the child's home, environmental situation, social and
17 emotional health, pattern of living, brain development, trauma history
18 and disability;
19 (6) the record and previous history of the child;
16 (7) the prospects for adequate protection of the public
17 and the likelihood of reasonable rehabilitation of the child by the use
18 of procedures, services and facilities currently available; and
18 (8) any other relevant factor, provided that factor is

1 {6} Child's agreement further explained that, depending on the outcome of the
2 amenability proceeding, he faced either a juvenile disposition until the age of
3 twenty-one with the Children, Youth & Families Department, or an adult sentence
4 of up to twenty-six years with the Department of Corrections. The agreement also
5 contained a provision in which Child waived "all motions, defenses, objections, or
6 requests" regarding the judgment against him, and "specifically waive[d] his right
7 to appeal as long as the Court's sentence [was] imposed according to the terms of
8 [the] agreement."

9 {7} At the amenability hearing, the trial judge heard conflicting evidence
10 regarding Child's amenability to treatment or rehabilitation as a juvenile in available
11 facilities. At the conclusion of the hearing, the trial judge explained that her decision
12 as to Child's amenability would turn primarily on "the prospects for adequate
13 protection of the public and the likelihood of reasonable rehabilitation of the child
14 by the use of procedures, services and facilities currently available," given that Child
15 was eighteen at the time of the hearing. Section 32A-2-20(C)(7). She further
16 explained that the parties had not done an adequate job of educating her regarding
17 the programs and facilities available to treat or rehabilitate Child by the time he

18 stated on the record.

1 reached twenty-one. Because the trial judge felt incapable of rendering an informed
2 decision, she deferred her ruling on Child's amenability until the parties could
3 present additional evidence regarding the available treatment or rehabilitation
4 options.

5 {8} Based on the evidence presented at a subsequent hearing, the trial judge
6 concluded that no suitable facilities or services were available to treat or rehabilitate
7 Child to a level that would adequately protect the public by the time he turned
8 twenty-one. Consequently, the judge found that Child was not amenable to treatment
9 or rehabilitation as a child in available facilities, and that he was not eligible for
10 commitment to an institution for children with developmental disabilities or mental
11 disorders. The judge imposed an adult sentence of twenty-five years imprisonment
12 with the Department of Corrections.

13 {9} On appeal, our Court of Appeals reversed, holding Section 32A-2-20(B) and
14 (C) facially unconstitutional because its amenability determination was made by a
15 judge and not the jury. *Rudy B.*, 2009-NMCA-104, ¶ 53. In so doing, the Court
16 relied on a string of Sixth Amendment decisions of the U.S. Supreme Court reaching
17 back to *Apprendi v. New Jersey*, 530 U.S. 466 (2000). *Rudy B.*, 2009-NMCA-104,
18 ¶¶ 15-19. The Court overruled its prior opinion in *Gonzales*, 2001-NMCA-025,

1 which had arrived at the opposite conclusion with regard to these same amenability
2 determinations. *See Rudy B.*, 2009-NMCA-104, ¶¶ 34-35, 53. We granted certiorari
3 to address important and timely constitutional issues under the Sixth Amendment as
4 they affect our statutory process for adjudicating juveniles charged with serious
5 criminal offenses. *See State v. Rudy B.*, 2009-NMCERT-009, 147 N.M. 423, 224
6 P.3d 650.

7 **DISCUSSION**

8 {10} The State raises two issues on appeal. First, the State contends that the Court
9 of Appeals did not have jurisdiction to consider Child's constitutional challenge to
10 Section 32A-2-20 because Child waived his right to appeal in the plea agreement.
11 Second, the State argues that the Court of Appeals erred when it declared Section
12 32A-2-20 unconstitutional, largely because it improperly applied the U.S. Supreme
13 Court's recent opinion in *Ice*, __ U.S. __, 129 S. Ct. 711. We address each argument
14 in turn.

15 **The Court of Appeals had jurisdiction over this case.**

16 {11} The State maintains that Child's explicit waiver of his right to appeal divested
17 the Court of Appeals of jurisdiction to consider his constitutional challenge to
18 Section 32A-2-20. The State's argument is essentially that appellate jurisdiction

1 rests on the status of an appellant as an “aggrieved party,” and that Child “agreed not
2 to be aggrieved” when he waived his right to appeal. *See* N.M. Const. art. VI, § 2
3 (“[A]n aggrieved party shall have an absolute right to one appeal.”). We find the
4 jurisdictional argument unpersuasive.

5 {12} The State does not cite, and we cannot find, any authority to support the
6 proposition that a *waiver* in a plea agreement of the right to appeal divests the Court
7 of Appeals of *jurisdiction* to hear an appeal in a criminal proceeding. The two cases
8 on which the State relies merely illustrate the well-established principle that a
9 voluntary plea of guilty or *nolo contendere* “ordinarily constitutes a waiver of the
10 defendant’s right to appeal his conviction on other than jurisdictional grounds.”
11 *State v. Chavarria*, 2009-NMSC-020, ¶ 9, 146 N.M. 251, 208 P.3d 896 (quoting
12 *State v. Hodge*, 118 N.M. 410, 414, 882 P.2d 1, 5 (1994)); *see also id.* (limiting
13 review to the question of whether the trial court had jurisdiction to sentence the
14 defendant to life in prison, rather than reaching the merits of the defendant’s Eighth
15 Amendment challenge to his life sentence); *State v. Michael S.*, 1998-NMCA-041,
16 ¶ 11, 124 N.M. 732, 955 P.2d 201 (declining to address the child’s substantive
17 appellate arguments because they were not based on jurisdictional grounds). These
18 cases do not hold, however, that such a waiver in a plea agreement divests an

1 appellate court of jurisdiction to entertain that appeal.

2 {13} At bottom, a plea agreement is simply a contract between the State and an
3 accused that affects the rights of the parties but not the court’s jurisdiction, which is
4 a creature of statute and the state constitution. *See State v. Simmons*, 2006-NMSC-
5 044, ¶ 12, 140 N.M. 311, 142 P.3d 899 (“We address the plea agreement as a unique
6 form of contract, binding upon both parties, relying on the rules of contract
7 construction.”); *State v. Montano*, 2004-NMCA-094, ¶ 7, 136 N.M. 144, 95 P.3d
8 1059 (“A plea agreement is a form of contract between the State and a defendant.”).
9 A provision of a plea agreement waiving the right to appeal is binding on the parties
10 to the same extent that any contractual provision binds the parties to a particular term
11 of a contract.

12 {14} Subject matter jurisdiction, on the other hand, implicates a court’s “power to
13 decide” the issue before it. *State v. Bailey*, 118 N.M. 466, 469, 882 P.2d 57, 60 (Ct.
14 App. 1994). Put another way, “the term ‘jurisdictional error’ should be confined to
15 instances in which the court was not competent to act.” *State v. Orosco*, 113 N.M.
16 780, 783, 833 P.2d 1146, 1149 (1992). A court’s jurisdiction derives from a statute
17 or constitutional provision. *See State v. Smallwood*, 2007-NMSC-005, ¶ 6, 141 N.M.
18 178, 152 P.3d 821 (“[O]ur Constitution or Legislature must vest us with appellate

1 jurisdiction.”).

2 {15} With respect to this case, the Legislature vested the Court of Appeals with
3 subject matter jurisdiction over “criminal actions, except those in which a judgment
4 of the district court imposes a sentence of death or life imprisonment.” NMSA 1978,
5 § 34-5-8(A)(3) (1983). Child’s waiver of his right to appeal does not transform this
6 proceeding into something other than a “criminal action.” Nor did the trial court
7 impose a sentence of death or life imprisonment. Hence, Child’s waiver does not
8 implicate the “power” or “competen[ce]” of the Court of Appeals to consider his
9 case.

10 {16} The State did not raise the issue of Child’s waiver of his right to appeal to the
11 Court of Appeals, nor did it raise the issue to this Court in its petition for certiorari.
12 Consequently, because this is neither a jurisdictional nor foundational issue that is
13 integral to the resolution of the questions presented in this petition, we do not decide
14 whether the scope of Child’s waiver extended to the constitutionality of Section
15 32A-2-20. *See State v. Javier M.*, 2001-NMSC-030, ¶ 10, 131 N.M. 1, 33 P.3d 1
16 (holding that this Court may reach “a foundational issue which is integral to a
17 complete and thorough analysis of the specific question presented in the petition for
18 writ of certiorari”); *see also* Rule 12-502(C)(2)(b) NMRA (“[T]he Court will

1 consider only the questions set forth in the petition . . .”). Therefore, we reject the
2 State’s invitation to reverse the Court of Appeals on jurisdictional grounds and
3 proceed to the significant constitutional issue presented in Child’s certiorari petition.

4 **Classification of Juvenile Offenders**

5 {17} The State argues that the Court of Appeals should not have overruled
6 *Gonzales* because *Apprendi* does not apply to amenability proceedings for youthful
7 offenders. Before we begin our analysis, we briefly describe New Mexico’s three-
8 tiered juvenile offender classification.

9 {18} The Delinquency Act establishes three levels of juvenile offenders, largely
10 based on the alleged offense leading to the filing of a petition against the child. At
11 the upper extreme are serious youthful offenders, children between the ages of
12 fifteen and eighteen who are charged with first-degree murder. *See* NMSA 1978, §
13 32A-2-3(H) (1993) (amended 2009). Serious youthful offenders are automatically
14 tried and, if convicted, sentenced as adults. *Id.* (citing *State v. Muniz*, 2003-NMSC-
15 021, ¶ 21, 134 N.M. 152, 74 P.3d 86). At the other end of the spectrum are
16 delinquent offenders, children of any age up to eighteen who have committed other
17 less serious acts “that would be designated as a crime under the law if committed by
18 an adult.” Section 32A-2-3(A). Delinquent offenders are tried in the children’s

1 court and, if adjudicated, can receive a maximum disposition of commitment to a
2 juvenile facility until their twenty-first birthday. *See* NMSA 1978, § 32A-2-
3 19(B)(1)(c) (1993) (amended 2009).

4 {19} The intermediate classification of juvenile offender, the youthful offender, has
5 required our repeated attention and is the one relevant to this case. *See, e.g., State*
6 *v. Jones*, 2010-NMSC-012, 148 N.M. 1, 229 P.3d 474. Youthful offenders are
7 children between the ages of fourteen and eighteen who (1) are adjudicated for any
8 of a list of felonies, enumerated by statute, which have consequences less serious
9 than first-degree murder, including the offenses to which Child pleaded guilty in this
10 case, or (2) have three prior, separate felony adjudications within the three years
11 preceding the offense. *See* § 32A-2-3(J). Youthful offenders also include fourteen-
12 year-old children adjudicated for first-degree murder. *See id.* When a child is an
13 alleged youthful offender, the State may seek an adult sentence by giving notice of
14 its intent to do so within ten days of filing the initial petition. *See* § 32A-2-20(A).
15 The child is then tried in children's court, but according to the Rules of Criminal
16 Procedure for the District Courts. *See* Rule 10-101(A)(2)(b) NMRA. If the child is
17 adjudicated for the alleged offense, the children's court must hold an amenability
18 hearing pursuant to Section 32A-2-20(B)(1), to determine whether it has the

1 discretion to sentence the child as an adult.

2 **The *Apprendi* Line of Cases Through *Cunningham***

3 {20} Turning to the matter at hand, we begin with an overview of the history of the
4 *Apprendi* rule. In *Apprendi*, the Supreme Court invalidated New Jersey’s “hate
5 crime” law, which the trial judge relied on to extend the defendant’s sentence after
6 finding by a preponderance of the evidence that the defendant’s various weapons-
7 related offenses had been “motivated by racial bias.” *Apprendi*, 530 U.S. at 470-71
8 (internal quotation marks and citation omitted). In striking down the “hate crime”
9 law, the Supreme Court articulated a bright-line rule, predicated on the Sixth
10 Amendment right to a trial by jury as applied to the states through the Fourteenth
11 Amendment. *Id.* at 525. “Other than the fact of a prior conviction, any fact that
12 increases the penalty for a crime beyond the prescribed statutory maximum must be
13 submitted to a jury, and proved beyond a reasonable doubt.” *Id.* at 490. As Justice
14 O’Connor predicted in her dissent, the so-called *Apprendi* rule has had a pivotal
15 impact on criminal sentencing procedures across the nation. *See id.* at 524
16 (O’Connor, J., dissenting) (characterizing the majority’s ruling as a “watershed
17 change in constitutional law”).

18 {21} The trend coming out of the Supreme Court’s post-*Apprendi* decisions was

1 unmistakable. *See Cunningham v. California*, 549 U.S. 270 (2007) (invalidating
2 California’s guidelines); *United States v. Booker*, 543 U.S. 220 (2005) (invalidating
3 the federal sentencing guidelines); *Blakely v. Washington*, 542 U.S. 296 (2004)
4 (invalidating Washington’s sentencing scheme); *Ring v. Arizona*, 536 U.S. 584
5 (2002) (invalidating Arizona’s death penalty sentencing scheme); *Apprendi*, 530
6 U.S. 466 (invalidating New Jersey’s sentencing scheme); *see also United States v.*
7 *O’Brien*, ___ U.S. ___, ___, 130 S. Ct. 2169, 2182 (2010) (holding that the judge’s
8 finding—that the weapon used in a drug trafficking crime was a machine
9 gun—violated *Apprendi* because it increased the defendant’s mandatory sentence
10 from five to thirty years). The result in each of these cases was the same. The Court
11 applied the bright-line *Apprendi* rule and declared each sentencing scheme
12 unconstitutional because a judge, not a jury, made a factual determination that
13 increased a criminal penalty beyond the statutory maximum that otherwise would
14 have applied without that determination. *But see Booker*, 543 U.S. at 249-50
15 (remediating the constitutional defect of the federal sentencing guidelines by excising
16 the provision requiring their mandatory application).

17 {22} The Court did not flinch or deviate from the binary, black-or-white *Apprendi*
18 analysis. If anything, the Court strengthened the *Apprendi* rule when, in response

1 to the State of Washington’s defense of its sentencing scheme,² it clarified that the
2 “statutory maximum” beyond which a judge, as opposed to a jury, may not increase
3 a sentence is “the maximum sentence a judge may impose *solely on the basis of the*
4 *facts reflected in the jury verdict or admitted by the defendant.*” *Blakely*, 542 U.S.
5 at 303. The Court intended its rule to apply literally, regardless of what some
6 warned as “the collateral, widespread harm to the criminal justice system and the
7 corrections process, . . . resulting from the Court’s wooden, unyielding insistence on
8 expanding the *Apprendi* doctrine far beyond its necessary boundaries.” *Cunningham*,
9 549 U.S. at 295 (Kennedy, J., dissenting).

10 {23} More than once this Court has wrestled with the *Apprendi* rule, most recently
11 in *State v. Frawley*, 2007-NMSC-057, 143 N.M. 7, 172 P.3d 144, where we were
12 compelled to apply the Supreme Court’s approach to criminal sentencing to our own
13 statutory framework. In *Frawley*, 2007-NMSC-057, ¶ 22, we overruled an opinion
14 that we had issued less than two years earlier, *State v. Lopez*, 2005-NMSC-036, 138
15 N.M. 521, 123 P.3d 754, and held unconstitutional a provision of the Criminal

16 ²The sentencing statute in *Blakely* set the “standard range” for second-degree
17 kidnaping at 49-53 months but allowed a trial judge to sentence a defendant up to ten
18 years based on a finding of “substantial and compelling reasons justifying an
19 exceptional sentence.” *Blakely*, 542 U.S. at 299, 325-26 (internal quotation marks
20 and citation omitted).

1 Sentencing Act which allowed a trial judge to increase a defendant’s basic sentence
2 by up to one-third upon a finding of certain aggravating circumstances. *See* NMSA
3 1978, § 31-18-15.1 (1979) (amended 2009). The result in *Frawley* was, as a
4 practical matter, dictated by the Supreme Court’s decision in *Cunningham*, 549 U.S.
5 274, which held that a similar sentencing scheme ran afoul of the *Apprendi* rule.
6 *Frawley*, 2007-NMSC-057, ¶ 22 (“We have no choice but to conclude that Frawley’s
7 sentence was altered upwards in contravention of the Sixth Amendment . . .”). Our
8 opinion in *Frawley* remains good law today, and nothing said in this Opinion should
9 be taken as undermining either its holding or rationale.

10 {24} If the Supreme Court had stopped at *Cunningham*, we would be hard-pressed
11 to disagree with our Court of Appeals that judge-made amenability determinations
12 under Section 32A-2-20 violate the *Apprendi* rule. If we were to assume—as did the
13 Court of Appeals—that the amenability determination falls within the scope of the
14 *Apprendi* rule, then the Court of Appeals’ conclusion would appear correct. After
15 all, Section 32A-2-20(B) and (C) does permit a trial judge to increase a youthful
16 offender’s sentence far beyond the juvenile disposition that would otherwise apply,
17 based on findings not made by a jury or admitted by the child relating to non-
18 amenability to treatment or rehabilitation as a juvenile. *See Blakely*, 542 U.S. at 303

1 (defining “statutory maximum” as the maximum sentence that “a judge may impose
2 *solely on the basis of the facts reflected in the jury verdict or admitted by the*
3 *defendant*”). According to *Blakely*, that “should be the end of the matter.” *Id.* at 313.
4 As we explain below, however, we reach a contrary result because we take a
5 different view of the Supreme Court’s most recent opinion in *Ice*. Based on *Ice*, we
6 conclude that the *Apprendi* rule, and the Sixth Amendment on which it stands, was
7 never designed to reach collateral decisions like amenability to treatment or
8 rehabilitation that are not tied to the offenses charges.

9 ***Oregon v. Ice* Defines the Outer Limit of the *Apprendi* Rule**

10 {25} In 2009, the Supreme Court finally marked the outer limit of the *Apprendi* rule
11 in *Ice*, ___ U.S. ___, 129 S. Ct. 711, and in so doing reframed our analysis. In *Ice*, a
12 jury found the defendant guilty of two counts of first-degree burglary and four
13 counts of first-degree sexual assault, stemming from two occasions in which he
14 broke into an apartment and sexually assaulted an eleven-year-old girl. ___ U.S. at
15 ___, 129 S. Ct. at 715. Oregon’s sentencing statute generally requires a trial judge to
16 impose concurrent sentences, limiting the defendant’s prison exposure in *Ice* to a
17 maximum of 90 months. But at sentencing the trial judge found that the two
18 burglaries and two sets of sexual assault “constituted ‘separate incident[s],’”

1 triggering the judge’s statutory discretion to impose consecutive sentences. *Id.*, __
2 U.S. at __, 129 S. Ct. at 715-16 (citation omitted). Exercising that discretion, the
3 judge imposed a 340-month sentence from which the defendant appealed. He argued
4 that the sentencing statute violated the *Apprendi* rule because it permitted a trial
5 judge to increase a sentence based on the finding of a fact not submitted to a jury and
6 not proven beyond a reasonable doubt. *Id.*, __ U.S. at __, 129 S. Ct. at 716. The
7 Oregon Supreme Court agreed with the defendant that the concurrent sentencing
8 statute violated *Apprendi*. *Ice*, __ U.S. at __, 129 S. Ct. at 716.

9 {26} The U.S. Supreme Court reversed, holding that the *Apprendi* rule simply does
10 not apply. The majority held that the concurrent sentencing statute is beyond “the
11 scope of the Sixth Amendment’s jury-trial guarantee, as construed in *Apprendi*.” *Ice*,
12 __ U.S. at __, 129 S. Ct. at 714 (emphasis added). In other words, whether Oregon’s
13 statute leads to an increase in sentence without a jury finding—the *Apprendi* rule—is
14 irrelevant; the statute does not implicate the constitutional concerns which the rule
15 was meant to address. *See Ice*, __ U.S. at __, 129 S. Ct. at 718.

16 {27} The Court made clear that it viewed the sentencing statute in *Ice* as
17 fundamentally different from those that it had considered in the previous cases
18 applying the *Apprendi* rule. According to the 5-4 majority, the *Apprendi* rule had

1 only been applied to sentencing in the “offense-specific context,” *Ice*, ___ U.S. at _
2 ___, 129 S. Ct. at 714, whereas the defendant in *Ice* was seeking to expand the rule
3 beyond the facts of his offense to consecutive-sentencing findings for “multiple
4 offenses different in character or committed at different times.” *Id.* at ___, 129 S. Ct.
5 at 717. Because of this difference, the central question for the Court was whether
6 to “extend” the *Apprendi* rule to a new area of criminal sentencing law—concurrent
7 or consecutive sentencing—in which the jury traditionally had played no role. *See*
8 *Ice*, ___ U.S. at ___, 129 S. Ct. at 717.

9 {28} To determine whether to extend *Apprendi* to the realm of consecutive
10 sentencing, the Court looked to the “twin considerations” of “historical practice and
11 respect for state sovereignty.” *Ice*, ___ U.S. at ___, 129 S. Ct. at 717. The Court’s
12 historical inquiry focused on “whether the finding of a particular fact was understood
13 as within ‘the domain of the jury . . . by those who framed the Bill of Rights.’” *Id.*
14 (quoting *Harris v. United States*, 536 U.S. 545, 557 (2002) (plurality opinion)). The
15 Court noted that, historically, the judge had controlled the decision to impose
16 sentences consecutively or concurrently; traditionally the jury had played no role.
17 *Id.* Further, the “prevailing practice” historically had been to impose consecutive
18 sentences; concurrent sentences were the exception. Therefore, Oregon’s statutory

1 presumption in favor of concurrent sentences, subject to a finding by the judge, did
2 not impinge upon the traditional role of the jury.

3 There is no encroachment here by the judge upon facts historically
4 found by the jury, nor any threat to the jury’s domain as a bulwark at
5 trial between the State and the accused. Instead, the defendant—who
6 historically may have faced consecutive sentences by default—has been
7 granted by some modern legislatures statutory protections meant to
8 temper the harshness of the historical practice.

9 *Id.* at ___, 129 S. Ct. at 718. For the same reasons, the Court held that the statute did
10 not create an “entitlement” to have the concurrent sentencing findings made by a
11 jury. Rather, “the scope of the constitutional jury right must be informed by the
12 historical role of the jury at common law”—leaving legislatures free to make policy
13 choices unfettered by the *Apprendi* rule insofar as they pertain to areas outside the
14 historical role of the jury. *Ice*, ___ U.S. at ___, 129 S. Ct. at 718.

15 {29} Also counseling against extending the *Apprendi* rule to consecutive-
16 sentencing determinations are principles of federalism, or state sovereignty, which
17 include “the authority of States over the administration of their criminal justice
18 systems.” *Ice*, ___ U.S. at ___, 129 S. Ct. at 718. The Court expressed concern over
19 “straitjacketing” the States from pursuing the “‘salutary objectives’ of promoting
20 sentences proportionate to ‘the gravity of the offense.’” *Id.* at ___, 129 S. Ct. at 719
21 (quoting *Blakely*, 542 U.S. at 308). Similarly, the Court made a slippery-slope

1 argument that the application of *Apprendi* to consecutive sentencing could extend
2 to other sentencing determinations that judges make, other than the length of
3 incarceration, such as findings relating to the length of supervised release following
4 a prison sentence, terms of community service, and imposing fines or restitution.
5 *Ice*, __ U.S. at __, 129 S. Ct. at 719. “Intruding *Apprendi*’s rule into these decisions
6 on sentencing choices or accoutrements surely would cut the rule loose from its
7 moorings.” *Ice*, __ U.S. at ____, 129 S. Ct. at 719. Finally, the Court voiced
8 reluctance to burden states with the added administrative difficulties that adhere to
9 the criminal process when juries are involved beyond *Apprendi*’s core concern of
10 safeguarding the traditional role of the jury. *Ice*, __ U.S. at __, 129 S. Ct. at 719.

11 {30} Perhaps most revealing of new limits for the *Apprendi* rule, Justice Scalia’s
12 dissent voiced frustration that the majority opinion “is a virtual copy of the dissents”
13 in each of the prior cases applying the *Apprendi* rule. *Ice*, __ U.S. at __, 129 S. Ct.
14 at 720 (Scalia, J., dissenting). His dissent repeatedly emphasizes that the historical
15 and sovereignty-based arguments relied on by the majority are “the same (the *very*
16 same) arguments” rejected in *Apprendi*. *Ice*, __ U.S. at __, 129 S. Ct. at 721. Justice
17 Scalia is not wrong in his assessment.

18 {31} *Ice* signals change. The *Ice* majority opinion appears to embrace, for the first

1 time, the point of view taken in the dissenting opinions in the *Apprendi* line of cases.
2 The opinion does appear to represent a pivotal turning point in the Court's Sixth
3 Amendment analysis, signaling a demarcation of how far at least a majority of the
4 Court will extend *Apprendi*'s black-or-white rule. After all, the trial judge in *Ice* did
5 enlarge the defendant's sentence well beyond the statutory maximum based upon a
6 factual determination made by the judge and not the jury. And yet, the Court looked
7 beyond just the obvious, arithmetic impact on sentencing to explore the historical
8 roots—and the limits—of the *Apprendi* rule.

9 {32} Though we have struggled before in our efforts to divine how the Supreme
10 Court would apply its rule, *see Lopez*, 2005-NMSC-036, we take *Ice* at face value
11 and the majority at its word. The majority made clear that it will not ““expand[] the
12 *Apprendi* doctrine far beyond its necessary boundaries.”” *Ice*, __ U.S. at __, 129 S.
13 Ct. at 719 (quoting *Cunningham*, 549 U.S. at 295 (Kennedy, J., dissenting)). Our
14 principal difference with the Court of Appeals distills to this one Supreme Court
15 opinion. We think the Court of Appeals placed too little emphasis on *Ice* in reaching
16 its conclusion.

17 {33} After *Ice*, the *Apprendi* rule continues to apply with full force to judicial
18 findings enlarging criminal sentences that conflict with the traditional domain of the

1 jury. Where a defendant seeks to “extend” the *Apprendi* rule, however, beyond the
2 context of the sentencing statutes in the *Apprendi* line of cases, this Court will
3 engage in a more probing analysis taking into account the historical role of the jury
4 and the effect on principles of federalism.

5 **The findings required by Section 32A-2-20(B) are beyond the scope of the**
6 ***Apprendi* rule.**

7 {34} We now turn to the substantive issue before us, which is whether the findings
8 required by Section 32A-2-20(B) are unconstitutional because they deprive youthful
9 offenders of a Sixth Amendment right to have a jury make those findings, as that
10 right is defined in *Apprendi* and limited by *Ice*. We begin, as the Supreme Court did
11 in *Ice*, and as our Court of Appeals did in *Gonzales*, with our view that Child’s
12 proposal to apply *Apprendi* to Section 32A-2-20(B) would extend the *Apprendi* rule
13 beyond the context in which it arose and previously has been applied. We agree with
14 the State that the findings required by Section 32A-2-20(B), like the findings in *Ice*,
15 are not offense-specific. At its core, Section 32A-2-20(B) mandates a careful
16 balancing of individual and societal interests involving a delinquent child’s
17 prospects for reintegration into public life by the time the child turns twenty-one.
18 Importantly, the focus of the findings at issue is on the *child*, not on the particular
19 offense committed. *See* § 32A-2-20(B) (providing that to sentence a youthful

1 offender as an adult, the judge must find that “(1) the *child* is not amenable to
2 treatment or rehabilitation as a child in available facilities; and (2) the *child* is not
3 eligible for commitment to an institution for children with developmental disabilities
4 or mental disorders” (emphasis added)).

5 {35} Admittedly, the particular circumstances of the child’s offense may have some
6 bearing on this decision. For example, some of the *factors* that the judge must weigh
7 under Section 32A-2-20(C) are “offense specific,” such as

8 (2) whether the alleged offense was committed in an aggressive,
9 violent, premeditated or willful manner;

10 (3) whether a firearm was used to commit the alleged offense; [and]

11 (4) whether the alleged offense was against persons or against property,
12 greater weight being given to offenses against persons, especially if
13 personal injury resulted.

14 However, the judge must also consider a range of other information relating to the
15 child that has little or nothing to do with the charged offenses. See § 32A-2-
16 20(C)(5), (7) (providing that the trial judge shall consider “the maturity of the child
17 as determined by consideration of the child’s home, environmental situation, social
18 and emotional health, pattern of living, brain development, trauma history and
19 disability” and “the prospects for adequate protection of the public and the likelihood
20 of reasonable rehabilitation of the child by the use of procedures, services and
21 facilities currently available”).

1 {36} Regardless of the particular offense of which a youthful offender is
2 adjudicated—or, as this case demonstrates, even the number of offenses—the inquiry
3 under Section 32A-2-20(B) is the same: Can the child be rehabilitated or treated
4 sufficiently to protect society’s interests by the time he reaches the age of twenty-
5 one? Questions of rehabilitation and societal protection are exactly what dominated
6 the thinking of the trial judge in this very case. The inquiry is neither offense-
7 specific nor, as we shall see, is it a task traditionally performed by juries.
8 Nonetheless, though we hold that the context and purpose of the findings required
9 under Section 32A-2-20(B) insulate them from the *Apprendi* rule, we think it prudent
10 to submit the offense-specific factors in Section 32A-2-20(C)(2), (3) and (4) to the
11 jury during the trial perhaps by way of special interrogatories. Doing so will place
12 only a minimal burden on the process because it can be done during the trial. We
13 refer this matter to the UJI Committee for Criminal Cases for appropriate action.

14 **Factors Leading to an *Ice* Analysis**

15 {37} We are also persuaded, as was the U.S. Court of Appeals for the Tenth Circuit
16 in *Gonzales v. Tafoya*, 515 F.3d 1097(10th Cir. 2008), that the predictive nature of
17 the findings required by Section 32A-2-20 set them apart from the findings
18 considered in the *Apprendi* cases. *See Gonzales*, 515 F.3d at 1114 (holding on

1 habeas review that the findings required by Section 32A-2-20 do not violate the
2 *Apprendi* rule). As Tenth Circuit Chief Judge Henry noted in *Gonzales*, the Supreme
3 Court has generally applied the *Apprendi* rule to retrospective findings, because such
4 findings are more susceptible to a decision by the jury beyond a reasonable doubt.
5 *Gonzales*, 515 F.3d at 1113. By contrast, the not-amenable-to-treatment and not-
6 eligible-for-commitment findings at issue here are forward-looking determinations,
7 which necessarily involve a level of uncertainty and informed judgment—as opposed
8 to historical fact-finding—that is not typically submitted to a jury. *Cf. Addington v.*
9 *Texas*, 441 U.S. 418, 429 (1979) (“Given the lack of certainty and the fallibility of
10 psychiatric diagnosis, there is a serious question as to whether a state could ever
11 prove beyond a reasonable doubt that an individual is both mentally ill and likely to
12 be dangerous.”).

13 {38} As our courts have seen first-hand, an informed amenability determination can
14 be made only by weighing a thorough knowledge of the resources for treatment and
15 rehabilitation offered by the State against various, and often conflicting,
16 psychological and social evaluations of “the child’s home, environmental situation,
17 social and emotional health, pattern of living, brain development, trauma history and
18 disability.” Section 32A-2-20(C)(5). Like the civil commitment findings in

1 *Addington*, the fallibility and lack of precision inherent in the amenability
2 determination “render certainties virtually beyond reach in most situations.” 441
3 U.S. at 430. Indeed, both our Court of Appeals and the Tenth Circuit relied on this
4 distinction when they rejected *Apprendi*-based challenges to Section 32A-2-20. *See*
5 *Gonzales*, 2001-NMCA-025, ¶¶ 47-48; *Gonzales*, 515 F.3d at 1114. Although the
6 historical/predictive distinction was not relevant in *Ice*, we find it meaningful here.

7 {39} Additionally, the findings in the *Apprendi* line of cases uniformly occurred in
8 the *adult* criminal context, whereas, the findings required by Section 32A-2-20(B)
9 arise in the juvenile justice context. *See Gonzales*, 515 F.3d at 1111 (noting that
10 “*Apprendi* did not involve judicial findings that a juvenile should be prosecuted as
11 an adult”). The Supreme Court has traditionally given states wider latitude in
12 adopting particular trial and sentencing procedures for juveniles—including whether
13 to have a jury trial at all. *See McKeiver v. Pennsylvania*, 403 U.S. 528, 550 (1971)
14 (holding that the right to a jury trial does not apply to juvenile proceedings). Given
15 that *Ice* expressly instructs us to consider principles of federalism and state
16 sovereignty in determining whether to apply *Apprendi*, we find this distinction
17 particularly significant.

18 {40} We are persuaded that applying the *Apprendi* rule here—to findings that are

1 not offense-specific, are predictive in nature, and are made in the juvenile
2 context—represents an extension of the circumstances under which the rule has
3 previously been applied. We will therefore look to the “twin considerations” of
4 “historical practice and respect for state sovereignty” to determine whether the Sixth
5 Amendment jury-trial guarantee extends to the findings required by Section 32A-2-
6 20. *Ice*, __ U.S. at __, 129 S. Ct. at 717.

7 **The Jury Historically Played No Role in Sentencing a Child as an Adult**

8 {41} As an initial matter, Child and *Amici* both argue that, although the Sixth
9 Amendment right to a jury trial does not apply to juvenile proceedings under
10 *McKeiver*, New Mexico, nonetheless, confers such a right as a matter of law “when
11 the offense alleged would be triable by jury if committed by an adult.” NMSA 1978,
12 § 32A-2-16(A) (2009). *Apprendi*’s Sixth Amendment protections, the argument
13 goes, extend to alleged youthful offenders who are, by definition, charged with an
14 offense that would be triable by a jury if committed by an adult. *See* § 32A-2-3(J)
15 (defining “youthful offender” and listing youthful offender offenses). For the sake
16 of argument, we can concede the point. *See Rudy B.*, 2009-NMCA-104, ¶ 65 (Sutin,
17 J., dissenting) (noting that youthful offenders are treated as adults who are protected
18 under the Sixth Amendment). However, that is only the beginning of our inquiry.

1 To determine whether *Apprendi* applies, *Ice* teaches that we must look to “whether
2 the finding of a particular fact was understood as within ‘the domain of the jury . .
3 . by those who framed the Bill of Rights.’” __ U.S. at __, 129 S. Ct. at 717 (quoting
4 *Harris*, 536 U.S. at 557).

5 {42} We agree with the Court of Appeals that the historical analysis undertaken in
6 *Ice* would be a poor fit here if the inquiry were limited to whether the post-trial
7 amenability determination required by Section 32A-2-20(B) was within the purview
8 of the jury at the time of the framing of the Bill of Rights. *See Rudy B.*, 2009-
9 NMCA-104, ¶ 23. As we shall discuss, our post-trial amenability proceeding for
10 youthful offenders (as opposed to a pre-trial waiver determination) is unique among
11 the several states and did not exist until 1993 when the Legislature enacted its most
12 recent version of our Children’s Code. *See id.*; *see also Jones*, 2010-NMSC-012, ¶
13 31 (citing Daniel M. Vannella, Note, *Let the Jury Do the Waive: How Apprendi v.*
14 *New Jersey Applies to Juvenile Transfer Proceedings*, 48 Wm. & Mary L. Rev. 723,
15 753 (2006)). However, *Ice*’s focus is on the historical origins of the findings
16 themselves and not on whether those findings occur before or after trial. Therefore,
17 our historical analysis is not limited to the precise setting in which our children’s
18 court judges currently make the amenability determination.

1 {43} Although the timing of our amenability proceeding is unique, the specific
2 inquiry of whether a child is amenable to treatment or rehabilitation is hardly
3 exclusive to New Mexico. Since Cook County, Illinois established the first juvenile
4 court in 1899, all 50 states have enacted some form of juvenile code that emphasizes
5 treatment and rehabilitation, as opposed to punishment, for juvenile offenders.
6 However, the juvenile codes also allow for adult treatment when the child is
7 determined to be incapable of reform. *See* Samuel M. Davis, *Rights of Juveniles 2d:*
8 *The Juvenile Justice System* § 1:1, at 1-2 (2d ed. 2010). Unlike New Mexico's
9 current, post-adjudicatory proceeding, the overwhelming majority of states considers
10 the amenability question at the initiation of proceedings against the child at a transfer
11 or "waiver" hearing. *See* Davis, *supra* § 4:1, at 212 (explaining that New Mexico
12 is currently one of only five states that do not "provide by statute for waiver of
13 jurisdiction or a functional equivalent").

14 {44} The focus of the pre-trial waiver proceeding followed in other states is similar
15 to our post-trial amenability hearing. The judge must determine whether to waive
16 the jurisdiction of the juvenile court in favor of the adult trial court based on the
17 child's amenability to treatment or rehabilitation. Prior to 1993, New Mexico made
18 the amenability determination in a pre-trial waiver proceeding. *See* 1955 N.M.

1 Laws, ch. 205, § 9 (requiring the trial judge to make a finding that the child was not
2 a “proper subject for reformation or rehabilitation” prior to initiating adult
3 proceedings); NMSA 1953, § 13-14-27(A)(2) (Vol. 3, Repl., Part 1); 1975 N.M.
4 Laws, ch. 320, § 4(A)(5). Thus, while New Mexico now makes the amenability
5 determination post-trial, the inquiry is largely the same as that of a pre-trial waiver
6 proceeding: whether the child should be subjected to adult consequences based on
7 the lack of prospects for successful treatment and rehabilitation.

8 {45} Of the courts to consider whether judge-made, pre-trial waiver determinations
9 violate the right to a jury trial, “the overwhelming weight of authority . . . concludes
10 that *Apprendi* does not apply to juvenile waiver hearings.” *State v. Kalmakoff*, 122
11 P.3d 224, 227 n.29 (Alaska Ct. App. 2005) (citing 15 decisions from other
12 jurisdictions holding that the findings made in waiver proceedings do not violate
13 *Apprendi*); *see also Gonzales*, 515 F.3d at 1116 (same); *Vannella, supra*, at 751
14 (noting that most of the courts considering whether *Apprendi* applies to waiver
15 proceedings have held that it does not). *But see Commonwealth v. Quincy Q.*, 753
16 N.E.2d 781, 789 (Mass. 2001) (holding that *Apprendi* requires the prosecution to
17 present sufficient evidence to the grand jury that the child’s conduct “involved the
18 infliction or threat of serious bodily harm” to indict a juvenile as a youthful

1 offender), *overruled on other grounds by Commonwealth v. King*, 834 N.E.2d 1175,
2 1201 n.28 (2005). None of those cases, however, occurred recently enough to apply
3 *Ice* and, therefore, we do not place great reliance upon them here.

4 {46} Interestingly, the factors that the New Mexico judge must consider under
5 Section 32A-2-20(C) to determine whether to invoke an adult sentence are used in
6 other jurisdictions to determine whether waiver is appropriate. 2 Wayne R. LaFave,
7 *Substantive Criminal Law* § 9.6(d), at 69-70 (2d ed. 2003). These factors are largely
8 identical to a set of criteria laid out by the U.S. Supreme Court in *Kent v. United*
9 *States*, 383 U.S. 541, 566-67 (1966). The Court offered these factors as
10 considerations that *the trial judge* should weigh in making the waiver decision to
11 ensure procedural fairness and due process in juvenile waiver proceedings.³

12 ³The criteria in *Kent*, 383 U.S. at 566-67, provides as follows:

13 1. The seriousness of the alleged offense to the community and
14 whether the protection of the community requires waiver.

7 2. Whether the alleged offense was committed in an aggressive,
8 violent, premeditated or willful manner.

9 3. Whether the alleged offense was against persons or against
10 property, greater weight being given to offenses against persons
11 especially if personal injury resulted.

12 4. The prosecutive merit of the complaint, i.e., whether there is
13 evidence upon which a Grand Jury may be expected to return an
14 indictment (to be determined by consultation with the United States
15 Attorney).

1 Significantly, of the 45 states that have pre-trial waiver hearings, all of them allow
2 the *judge* “to transfer juveniles to adult court after making specified findings.”
3 *Gonzales*, 515 F.3d at 1116 (citing *Vannella*, *supra*, at 739).

4 {47} Similarly, since the inception of the first New Mexico Juvenile Code in 1917,
5 our statutes and caselaw make clear that it is the trial judge, not the jury, who decides
6 whether to invoke an adult sentence based on a child’s amenability to
7 treatment—whether pre- or post-adjudication. Since 1955, the trial judge has been
8 expressly required by statute to decide whether a child is amenable to treatment or
9 rehabilitation based upon certain findings. *See* 1955 N.M. Laws, ch. 205, § 9
10 (requiring the trial judge to make a finding that the child was not a “proper subject

11 5. The desirability of trial and disposition of the entire offense in
12 one court when the juvenile's associates in the alleged offense are
13 adults who will be charged with a crime in the U.S. District Court for
14 the District of Columbia.

13 6. The sophistication and maturity of the juvenile as determined
14 by consideration of his home, environmental situation, emotional
15 attitude and pattern of living.

16 7. The record and previous history of the juvenile, including
17 previous contacts with the Youth Aid Division, other law enforcement
18 agencies, juvenile courts and other jurisdictions, prior periods of
19 probation to this Court, or prior commitments to juvenile institutions.

20 8. The prospects for adequate protection of the public and the
21 likelihood of reasonable rehabilitation of the juvenile (if he is found to
22 have committed the alleged offense) by the use of procedures, services
23 and facilities currently available to the Juvenile Court.

1 for reformation or rehabilitation” prior to initiating adult proceedings); §
2 13-14-27(A)(2); 1975 N.M. Laws, ch. 320, § 4(A)(5); § 32A-2-20(B). Before 1955,
3 the trial judge had the discretion to try and sentence a juvenile as an adult. As this
4 Court explained in *State v. Doyal*, 59 N.M. 454, 286 P.2d 306 (1955), the judge
5 made that decision by weighing the same interests presently required by Section
6 32A-2-20.

7 [M]ay we not fairly assume that in whatever capacity as judge he acts
8 [whether as a judge of the district court or the juvenile court], he will
9 so exercise his discretion as to try no child in the district court for what
10 would have been a felony if done by an adult, if extreme youth of the
11 offender plus other facts in evidence gives reasonable promise of his
12 rehabilitation by treatment in the juvenile court; nor at all save where
13 the demands of society for the prevention and punishment of crime are
14 so compelling as to leave no other alternative.

15

16 Never, since our legislature enacted the first Juvenile Delinquency
17 Act, has it attempted to deny district courts their traditional and
18 constitutional power to place on trial one accused of having committed
19 a felony, merely because his age at the time of the offense placed him
20 below the maximum named in the statute for juvenile delinquents.

21 *Doyal*, 59 N.M. at 461-62, 286 P.2d at 311-12.

22 {48} *Doyal* establishes that, since the inception of separate proceedings for
23 juveniles in 1917, the trial judge—not the jury—was responsible for determining
24 whether to try and sentence a child as an adult based on the child’s amenability to

1 treatment or rehabilitation. Put simply, the trial judge has decided the child's
2 amenability to treatment or rehabilitation for as long as that determination has been
3 a part of criminal proceedings in New Mexico.

4 {49} Child argues in the present case that the rebuttable presumption for children
5 between the ages of seven and fourteen, also known as the common-law infancy
6 defense, provides the appropriate historical analog to the modern-day amenability
7 determination. The infancy defense required the prosecution to prove that when a
8 child subject to the rebuttable presumption committed the alleged offense, the child
9 "manifested a consciousness of guilt, and a discretion to discern between good and
10 evil." 4 William Blackstone, *Commentaries on the Laws of England* 23-24 (1769);
11 see 2 LaFave, *supra* § 9.6(a), at 62-63. The State had to prove the child's criminal
12 capacity to the jury beyond a reasonable doubt. See *Commonwealth v. Mead*, 92
13 Mass. 398, 399 (1865) ("[T]he question whether, in committing an offence, such
14 child in fact acted with intelligence and capacity, and an understanding of the
15 unlawful character of the act charged, is to be determined by the jury upon the
16 evidence, and in view of all the circumstances attending the alleged criminal
17 transaction."). Child argues that the finding of infancy and the finding of
18 amenability are essentially the same and, therefore, because the jury historically

1 decided whether the infancy defense was applicable, *Apprendi* should apply.

2 {50} We are not persuaded. The infancy defense, which acted as a complete bar to
3 criminal liability, was a rebuttable presumption that a child of a certain age could not
4 form the necessary criminal intent to commit the crime of which he was accused. In
5 other words, a child who raised the infancy defense effectively argued that, although
6 he committed the *act* necessary to constitute the charged offense, he should be
7 relieved from liability because he did not understand the moral consequences of his
8 actions—he was not culpable. Infancy is, thus, a determination of historical fact
9 closely linked to *mens rea*, one of the essential elements of most crimes and
10 historically determined by the jury.

11 {51} By contrast, the amenability finding does not exonerate the child or render him
12 blameless. As with any criminal proceeding, the jury may find at the adjudicatory
13 stage that a juvenile lacked the requisite *mens rea* to be guilty of the charged offense.
14 *See Addington*, 441 U.S. at 428 (recognizing that *In re Winship*, 397 U.S. 358, 367
15 (1970), the U.S. Supreme Court held that the state must prove “[the juvenile’s act
16 and *intent*] beyond a reasonable doubt” (emphasis added)). Rather, the amenability
17 determination is predictive and focuses on the child’s *prospective* capacity for
18 treatment or rehabilitation. This difference persuades us that the jury’s traditional

1 determination of infancy is not helpful to our resolution.

2 {52} Even more to the point, the amenability determination only applies to youthful
3 offenders, who by definition must be at least fourteen years of age, *see* § 32A-2-3(J);
4 whereas, the common-law infancy defense only applied to children between the ages
5 of seven and fourteen. The jury, therefore, played no historical role in determining
6 whether a child over the age of fourteen had the capacity to commit a crime, because
7 at common law such children “had the same capacity as adults” and were commonly
8 treated as such. 2 LaFave, *supra* § 9.6(a), at 62-63. Clearly, we can conclude that
9 the amenability determination is not an “encroachment . . . by the judge upon facts
10 historically found by the jury, nor any threat to the jury’s domain as a bulwark at trial
11 between the State and the accused.” *Ice*, ___ U.S. at ___, 129 S. Ct. at 718. Rather,
12 like the concurrent sentencing statute in *Ice*, the amenability inquiry is a statutory
13 protection granted to juveniles by our Legislature “to temper the harshness of the
14 historical practice,” *id.*, which often led to older children being incarcerated next to
15 hardened criminals. *See In re Gault*, 387 U.S. 1, 15 (1967) (“The early reformers
16 were appalled by adult procedures and penalties, and by the fact that children could
17 be given long prison sentences and mixed in jails with hardened criminals.”).

18 {53} Neither Child nor the State offer any other historical basis for us to determine

1 whether *Apprendi*'s rule is applicable to the amenability determination, and we can
2 find none. Thus, although the amenability determination was not an aspect of the
3 prosecution of juveniles at the time of the framing of the Bill of Rights, it has been
4 a question for the judge—not the jury—since the creation of the juvenile court
5 systems at the turn of the twentieth century. Put simply, an amenability
6 determination has never been based upon facts “historically found by the jury,” and
7 so it cannot be a “threat to the jury’s domain” as preserved in the U.S. Constitution.
8 We now turn to the second of *Ice*’s “twin considerations,” state sovereignty and
9 principles of federalism.

10 **Principles of federalism preclude the application of *Apprendi* to the amenability**
11 **finding.**

12 {54} As *Ice* explained, “[w]e have long recognized the role of the States as
13 laboratories for devising solutions to difficult legal problems.” ___ U.S. at ___, 129
14 S. Ct. at 718-19 (citing *New State Ice Co. v. Liebmann*, 285 U.S. 262, 311 (1932)
15 (Brandeis, J., dissenting)). *Ice* further acknowledged the states’ traditional sovereign
16 authority over “the administration of their criminal justice system.” ___ U.S. at ___,
17 129 S. Ct. at 718. The realm of juvenile procedures and sentencing is particularly
18 within the states’ area of exclusive control. When considering whether the Sixth
19 Amendment’s right to a jury trial extends to juvenile proceedings, the Supreme Court

1 held, “We are reluctant to disallow the States to experiment further and to seek in
2 new and different ways the elusive answers to the problems of the young”
3 *McKeiver*, 403 U.S. at 547.

4 {55} To be sure, the Supreme Court has made clear that juvenile proceedings must
5 meet minimal constitutional requirements. *Kent* set the minimum procedural
6 requirements for waiver proceedings, 383 U.S. at 566-67; *In re Gault* extended to
7 juveniles the right to notice of charges, to counsel, to confrontation and to cross-
8 examination of witnesses, and to the privilege against self-incrimination, 387 U.S.
9 at 33-34, 41, 55-56; *In re Winship* gave juveniles the protection of the reasonable
10 doubt standard, 397 U.S. at 367.

11 {56} At the same time, the Court has repeatedly emphasized that it follows a more
12 deferential approach to state decisions of how to administer their juvenile court
13 systems. “From the inception of the juvenile court system, wide differences have
14 been tolerated—indeed insisted upon—between the procedural rights accorded to
15 adults and those of juveniles.” *In re Gault*, 387 U.S. at 14. The amenability
16 determination, being an essential step in the adjudication and disposition of children,
17 is but one example of the independence traditionally afforded to states in this area.
18 As such, our amenability determination is entitled to a level of deference based on

1 traditional principles of federalism and state sovereignty. *See Ice*, ___ U.S. at ___, 129
2 S. Ct. at 719 (“This Court should not diminish that role [of states as laboratories]
3 absent impelling reason to do so.”).

4 {57} Given the states’ discretion “to experiment further and to seek in new and
5 different ways the elusive answers to the problems of the young,” *McKeiver*, 403
6 U.S. at 547, it would strike us as inconsistent if *Apprendi* or the Sixth Amendment
7 were to mandate a jury finding beyond a reasonable doubt that a child is not
8 amenable to treatment or eligible for commitment. We have little doubt that states
9 have the authority, however ill-advised it may be, to do away with the amenability
10 determination altogether and to prosecute and sentence juveniles as adults. For
11 example, New Mexico is one of 29 states that has enacted “legislative waiver”
12 statutes which *automatically* subject juveniles charged with certain defined crimes
13 to adult proceedings and sentences without the exercise of any judicial discretion.
14 *See Vannella, supra*, at 741 (providing that a juvenile between the ages of 15 or 18
15 who is “charged with and indicted or bound over for trial for first-degree murder”—a
16 so-called “serious youthful offender”—is not entitled to the protections of the
17 Delinquency Act, citing Section 32A-2-3(H)). If our Legislature can deny the right
18 to juvenile procedures and dispositions wholesale without offending the

1 Constitution, then the Legislature ought to be able to extend greater protection to
2 children—and establish a procedure for doing so—without running afoul of the
3 Constitution. *See Ice*, __ U.S. at __, 129 S. Ct. at 719 (“To hem in States by holding
4 that they may not equally choose to make concurrent sentences the rule, and
5 consecutive sentences the exception, would make scant sense.”). This is especially
6 true when the jury has never played a role in making this determination.

7 {58} Finally, the same administrative burdens noted by the Supreme Court in *Ice*
8 counsel against applying *Apprendi* to amenability determinations. *See Ice*, __ U.S.
9 at __, 129 S. Ct. at 719. If *Apprendi* were to apply to a finding that has never been
10 made by the jury, it seems difficult to limit its reach with respect to the other
11 sentencing determinations that New Mexico allows its judges to make other than the
12 length of incarceration. Applying *Apprendi* to a post-trial amenability determination
13 would require bifurcated proceedings with attendant delay and added cost—a burden
14 we are reluctant to impose absent a clear directive from the Constitution.

15 {59} In sum, because the amenability determination historically has not been made
16 by the jury, applying *Apprendi* would interfere unnecessarily with New Mexico’s
17 traditional discretion in administering a system of juvenile justice. We hold that the
18 amenability determination is not within the scope of the *Apprendi* rule and the Sixth

1 Amendment's guarantee of a jury trial does not apply to amenability proceedings.

2 **CONCLUSION**

3 {60} We reverse the Court of Appeals' determination that Section 32A-2-20 is
4 facially unconstitutional and remand to the Court of Appeals for consideration of
5 Child's remaining appellate arguments that (1) there was insufficient evidence to
6 support the findings necessary to sentence him as an adult, and (2) his separate
7 convictions for shooting from a motor vehicle resulting in great bodily harm and
8 aggravated battery with a deadly weapon violate constitutional protections against
9 double jeopardy.

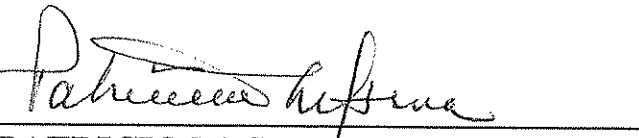
10 {61} **IT IS SO ORDERED.**

11 
12 **RICHARD C. BOSSON, Justice**

1 **WE CONCUR:**

2 

3 **CHARLES W. DANIELS, Chief Justice**

4 

5 **PATRICIO M. SERNA, Justice**

6 

7 **PETRA JIMENEZ MAES, Justice**

8 **EDWARD L. CHÁVEZ, Justice (dissenting)**